3-16-01

Attorney Docket No.

First Inventor

Please type a plus sign (+) inside this box

PTO/SB/05 (11-00) Approved for use through 10/31/2002. OMB 0651-0032

LaFollette, Rodney

21189

14 August 200

Registration No. (Attorney/Agent)

U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

7310.C

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## UTILITY PATENT APPLICATION

Microscopic Batteries for MEMS Systems TRANSMITTAL EL811844778US Express Mail Label No. (Only for new nonprovisional applications under 37 CFR 1.53(b)) Assistant Commissioner for Patents APPLICATION ELEMENTS ADDRESS TO: Box Patent Application Washington, DC 20231 See MPEP chapter 600 concerning utility patent application contents. Fee Transmittal Form (e.g., PTO/SB/17) CD-ROM or CD-R in duplicate, large table or 1. [ X (Submit an original and a duplicate for fee processing) Computer Program (Appendix) Applicant claims small entity status. 8. Nucleotide and/or Amino Acid Sequence Submission 2. X See 37 CFR 1.27. (if applicable, all necessary) (preferred arrangement set forth below) Computer Readable Form (CRF) b. Specification Sequence Listing on: - Descriptive title of the invention - Cross Reference to Related Applications i. CD-ROM or CD-R (2 copies); or - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, i i. 🔲 paper or a computer program listing appendix Statements verifying identity of above copies - Background of the Invention - Brief Summary of the Invention ACCOMPANYING APPLICATION PARTS - Brief Description of the Drawings (if filed) Assignment Papers (cover sheet & document(s)) 9. - Detailed Description 37 CFR 3.73(b) Statement Power of - Claim(s) 10. Attorney - Abstract of the Disclosure (when there is an assignee) English Translation Document (if applicable) Drawing(s) (35 U.S.C. 113) [ Total Sheets 4. X Copies of IDS Information Disclosure 12. X Citations [ Total Pages Statement (IDS)/PTO-1449 5. Oath or Declaration 13. X Preliminary Amendment Newly executed (original or copy) Copy from a prior application (37 CFR 1.63 (d)) (for continuation/divisional with Box 18 completed) Return Receipt Postcard (MPEP 503) 14. X (Should be specifically itemized) Certified Copy of Priority Document(s) (if foreign priority is claimed) DELETION OF INVENTOR(S) 15. Signed statement attached deleting inventor(s) Request and Certification under 35 U.S.C. 122 named in the prior application, see 37 CFR 16. (b)(2)(B)(i). Applicant must attach form PTO/SB/35 1.63(d)(2) and 1.33(b). or its equivalent. Application Data Sheet. See 37 CFR 1.76 17. X Other: Confirmatory Instrument 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76: 09,037,801 of prior application No. Continuation-in-part (CIP) X Continuation Brouillette Examiner G . Group Art Unit \_1745 Prior application information: For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. 19. CORRESPONDENCE ADDRESS X Correspondence address below Customer Number or Bar Code Label (Insert Customer No. or Attach bar code label here) Foster & Foster, LLC Name Mr. Lynn G. Foster 602 E. 300 S. Address Zip Code 84102 State Utah Salt Lake City City 801-355-8938 801-364-5633 Fax Telephone USA Country

Signature 0 2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

Lynn G.

Name (Print/Type)

Foster

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Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$) 963.00

Complete if Known				
Application Number				
Filing Date	14 August 2001			
First Named Inventor	LaFollette, Rodney M.			
Examiner Name				
Group Art Unit				
Attorney Docket No.	7310.C			

METHOD OF PAYMENT	FEE CALCULATION (continued)			
1. X  The Commissioner is hereby authorized to charge indicated fees and credit any overnayments to:	3. ADDITIONAL FEES			
indicated fees and credit any overpayments to:  Deposit	Large Small			
Account Number 06-1620	Entity Entity Fee Fee Fee Fee Fee Description	Fee Paid		
Deposit	Code (\$) Code (\$)			
Account Name Foster & Foster	105 130 205 65 Surcharge - late filing fee or oath			
Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17	127 50 227 25 Surcharge - late provisional filing fee or cover sheet			
Applicant claims small entity status.	139 130 139 130 Non-English specification			
See 37 CFR 1.27	147 2,520 147 2,520 For filing a request for ex parte reexamination	}		
2. Payment Enclosed:	112 920* 112 920* Requesting publication of SIR prior to	_		
Check Credit card Money Other	Examiner action			
FEE CALCULATION	113 1,840* 113 1,840* Requesting publication of SIR after Examiner action			
1. BASIC FILING FEE	115 110 215 55 Extension for reply within first month			
Large Entity Small Entity	116 390 216 195 Extension for reply within second month			
Fee Fee Fee Fee Description Code (\$) Code (\$) Fee Paid	117 890 217 445 Extension for reply within third month			
101 710 201 355 Utility filing fee 355	118 1,390 218 695 Extension for reply within fourth month			
106 320 206 160 Design filing fee	128 1,890 228 945 Extension for reply within fifth month			
107 490 207 245 Plant filing fee	119 310 219 155 Notice of Appeal			
108 710 208 355 Reissue filing fee	120 310 220 155 Filing a brief in support of an appeal			
114 150 214 75 Provisional filing fee	121 270 221 135 Request for oral hearing			
(0) 255 00	138 1,510 138 1,510 Petition to institute a public use proceeding			
SUBTOTAL (1) (\$)355.00	140 110 240 55 Petition to revive - unavoidable			
2. EXTRA CLAIM FEES Fee from	141 1,240 241 620 Petition to revive - unintentional			
Extra Claims below Fee Paid	142 1,240 242 620 Utility issue fee (or reissue)			
Total Claims $52 - 20^{**} = 32 \times 9 = 288$	143 440 243 220 Design issue fee			
Independent $11 - 3^{**} = 8 \times 40 = 320$	144 600 244 300 Plant issue fee			
Multiple Dependent 0 = 0	122 130 122 130 Petitions to the Commissioner			
	123 50 123 50 Processing fee under 37 CFR 1.17(q)			
Large Entity Small Entity Fee Fee Fee Fee Fee Description	126 180 126 180 Submission of Information Disclosure Stmt			
Code (\$) Code (\$)	581 40 581 40 Recording each patent assignment per	- 1		
103 18 203 9 Claims in excess of 20	property (times number of properties)			
102 80 202 40 Independent claims in excess of 3	146 710 246 355 Filing a submission after final rejection (37 CFR § 1.129(a))	j		
104 270 204 135 Multiple dependent claim, if not paid	149 710 249 355 For each additional invention to be			
109 80 209 40 ** Reissue independent claims over original patent	examined (37 CFR § 1.129(b))			
110 18 210 9 ** Reissue claims in excess of 20 and over original patent	179 710 279 355 Request for Continued Examination (RCE)			
and 0.0, original parent	169 900 169 900 Request for expedited examination of a design application			
SUBTOTAL (2) (\$) 608.00	Other fee (specify)			
	*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$)			
**or number previously paid, if greater; For Reissues, see above	Troubled by Dasie I Hing I de Faid SUBTUTAL (3) (14)			

SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	Lynn G Foster // Registration No. (Attorney/Agent) 21,189	Telephone 801-364-5633	
Signature	MAHOR	Date 14 August 2001	

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

ln re P	atent Application of:	)		
	RODNEY M LAFOLLETTE	)	Docket:	7310.C
Serial	No.:	)	Art Unit:	
Filed:	14 August 2001 (Via Express Mail)	)	Examiner:	
For:	MICROSCOPIC BATTERIES FOR MEMS SYSTEMS	)		

## CERTIFICATION OF FILING BY EXPRESS MAIL

"EXPRESS MAIL" mailing label number: EL811844778US

DATE OF DEPOSIT: 14 August 2001

I hereby certify that the attached complete patent application is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Lynn G. Foster

Attorney for Applicants